



PATENT
Customer No. 22,852
Attorney Docket No. 05725.1348-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Andrew GREAVES et al.)
)
Application No.: 10/814,336) Group Art Unit: 1751
)
Filed: April 1, 2004) Examiner: Elhilo, Eisa B.
)
For: PROCESS FOR DYEING HUMAN)
KERATIN FIBERS, HAVING A) Confirmation No. 5352
LIGHTENING EFFECT, COMPRISING)
AT LEAST ONE FLUORESCENT)
COMPOUND AND COMPOSITIONS)
OF THE SAME)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached listing. This Supplemental Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement requires a fee of \$180.00. Please charge the fee of \$180.00 to our deposit account no. 06-0916.

04/12/2006 SZEWDIE1 00000077 060916 10814336
01 FC:1806 180.00 DA

Copies of the listed documents (excluding the cited U.S. Patent Applications and U.S. Patent Publications) are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached SB-08 form.

The following is a statement regarding the non-English language documents.

1. **AT 302 534** - This document is believed to be related to U.S. Patent No. 3,658,985, listed on the attached Form PTO/SB/08.
2. **CH 487 231** - This document is believed to be related to GB Patent No. 1214394, submitted herewith.
3. **DE 33 133 32 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
4. **DE 100 29 441 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
5. **DE 101 41 683 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
6. **DE 101 48 844 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
7. **DE 196 46 804 A1** - This document is believed to be related to U.S.

Patent No. 5,830,446, listed on the attached Form PTO/SB/08.

8. **DE 199 23 438 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

9. **DE 199 26 377 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

10. **EP 0 080 976 B1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

11. **EP 0 087 060** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

12. **EP 0 714 954 A2** - This document is believed to be related to U.S. Patent No. 5,708,151, listed on the attached Form PTO/SB/08.

13. **EP 0 815 828 B1** - This document is believed to be related to U.S. Patent No. 6,120,780, listed on the attached Form PTO/SB/08.

14. **EP 0 970 684** - This document is believed to be related to U.S. Patent Application Publication No. 2005/0144741, listed on the attached Form PTO/SB/08.

15. **EP 1 023 891 A1** - This document is believed to be related to U.S. Patent No. 6,260,556, listed on the attached Form PTO/SB/08..

16. **EP 1 099 437 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
17. **EP 1 132 076 A1** - This document is related to U.S. Patent Application Publication Nos. 2001/0055580 A1 and 2002/0176836 A9, listed on the attached Form PTO/SB/08.
18. **EP 1 191 041 A2** - This document is believed to be related to U.S. Patent Application Publication No. 2002/0131941 A1, listed on the attached Form PTO/SB/08.
19. **FR 1,492,597** - This document is believed to be related to U.S. Patent No. 3,472,840, listed on the attached Form PTO/SB/08.
20. **FR 1,583,363** - This document is believed to be related to U.S. Patent No. 3,632,559, listed on the attached Form PTO/SB/08.
21. **FR 2,077,143** - This document is believed to be related to U.S. Patent No. 3,910,862, listed on the attached Form PTO/SB/08.
22. **FR 2,080,759** - This document is believed to be related to U.S. Patent No. 3,912,808, listed on the attached Form PTO/SB/08.
23. **FR 2,162,025** - This document is believed to be related to U.S. Patent Nos. 3,917,817 and 4,013,787, listed on the attached Form PTO/SB/08.

24. **FR 2,190,406** - This document is believed to be related to U.S. Patent Nos. 3,912,808 and 3,986,825, listed on the attached Form PTO/SB/08.
25. **FR 2,252,840** - This document is believed to be related to U.S. Patent Nos. 4,172,887; 4,189,468; and 4,277,581, listed on the attached Form PTO/SB/08.
26. **FR 2,270,846** - This document is believed to be related to U.S. Patent Nos. 4,217,914; 4,422,853; 4,948,579; and 5,196,189, listed on the attached Form PTO/SB/08.
27. **FR 2,280,361** - This document is believed to be related to U.S. Patent No. 4,013,787, listed on the attached Form PTO/SB/08.
28. **FR 2,316,271** - This document is believed to be related to U.S. Patent Nos. 4,197,865; 4,381,919; and 4,608,250, listed on the attached Form PTO/SB/08.
29. **FR 2,320,330** - This document is believed to be related to U.S. Patent Nos. 4,075,136 and 4,166,894, listed on the attached Form PTO/SB/08.
30. **FR 2,336,434** - This document is believed to be related to U.K. Patent No. GB 1,546,809, submitted herewith.
31. **FR 2,368,508** - This document is believed to be related to U.S. Patent Nos. 4,189,468 and 4,277,581, listed on the attached Form PTO/SB/08.

32. **FR 2,383,660** - This document is believed to be related to U.S. Patent Nos. 4,240,450; 4,445,521; 4,719,099; and 5,009,880, listed on the attached Form PTO/SB/08.

33. **FR 2,393,573** - This document is believed to be related to U.S. Patent Nos. 4,165,367 and 4,223,009, listed on the attached Form PTO/SB/08.

34. **FR 2,416,723** - This document is believed to be related to U.S. Patent No. 4,237,243, listed on the attached Form PTO/SB/08.

35. **FR 2,470,596** - This document is believed to be related to U.S. Patent Nos. 4,996,059 and 5,958,392, listed on the attached Form PTO/SB/08.

36. **FR 2,505,348** - This document is believed to be related to U.S. Patent No. 4,761,273, listed on the attached Form PTO/SB/08.

37. **FR 2,519,863** - This document is believed to be related to U.S. Patent Nos. 5,089,252 and 5,139,037, listed on the attached Form PTO/SB/08.

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39. **FR 2 586 913** - This document is believed to be related to U.S. Patent No. 4,823,985, listed on the attached Form PTO/SB/08.

40. **FR 2 589 476** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
41. **FR 2,598,611** - This document is believed to be related to U.S. Patent No. 4,839,166, listed on the attached Form PTO/SB/08.
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43. **FR 2,773,864 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
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45. **FR 2,741,261** - This document is believed to be related to U.S. Patent No. 5,830,446, listed on the attached Form PTO/SB/08.
46. **FR 2,800,612** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
47. **FR 2,811,993** - This document is believed to be related to U.S. Patent Application Publication Nos. 2004/0141943 A1 and 2004/0124079 A1, listed on the attached Form PTO/SB/08

48. **FR 2,820,032** - This document is believed to be related to U.S. Patent Application Publication No. 2004/0205901, listed on the attached Form PTO/SB/08.
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50. **JP 48-17362** - This document is believed to be related to U.S. Patent No. 3,658,985, listed on the attached Form PTO/SB/08.
51. **JP 54-86521** - This document is believe to be related to U.S. Patent No. 4,256,458, listed on the attached Form PTO/SB/08.
52. **JP 2-200612** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
53. **JP 6-128128** - This document is believed to be related to U.S. Patent No. 5,356,438, listed on the attached Form PTO/SB/08.
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55. **JP 6-227954** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.
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57. **JP 8-208448** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

58. **JP 8-259426** - This document is believed to be related to U.S. Patent No. 5,635,461, listed on the attached Form PTO/SB/08.

59. **JP 10-236929** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

60. **JP 11-21214** - This document is believed to be related to U.S. Patent No. 4,961,925, listed on the attached Form PTO/SB/08. An English language abstract is also submitted herewith.

61. **JP 11-60453** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

62. **JP 11-343218** - This document is believed to be related to U.S. Patent Application Publication Nos. 2002/046432 A1, 2003/019053 A9, and 2004/0148711 A1, listed on the attached Form PTO/SB/08.

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64. **JP 2000-86472** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

65. **JP 2000-505841** - This document is believed to be related to U.S. Patent No. 6,712,861 B2 and U.S. Patent Application Publication Nos. 2002/0004956 A1 and 2003/0000023 A9, listed on the attached Form PTO/SB/08.

66. **JP 2001-172120** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith. This document is also related to EP 1,099,437, submitted herewith.

67. **JP 2001-220330** - This document is believed to be related to U.S. Patent No. 6,436,151, listed on the attached form PTO/SB/08.

68. **JP 2001-226217** - This document is believed to be related to U.S. Patent Application Publication No. 2001/0031270 A1, listed on the attached Form PTO/SB/08.

69. **JP 2001-261534** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

70. **JP 2001-261536** - This document is believed to be related to U.S. Patent No. 6,592,630 and U.S. Patent Application Publication No. 2001/005426, listed on the attached Form PTO/SB/08.

71. **JP 2001-294519** - An abstract of the disclosure of this document can be

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72. **JP 2001-516701** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

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75. **JP 2002-12523** - This document is believed to be related to U.S. Patent No. 6,475,248 and U.S. Patent Application Publication No. 2002/026676, listed on the attached Form PTO/SB/08.

76. **JP 2002-12530** - This document is believed to be related to U.S. Patent No. 6,616,709 and U.S. Patent Application Publication No. 2002/0088063, listed on the attached Form PTO/SB/08.

77. **JP 2002-226338** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

78. **JP 2002-249419** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

79. **JP 2002-47151** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

80. **JP 2003-55177** - This document is believed to be related to U.S. Patent Application Publication No. 2003/0074747 A1, listed on the attached Form PTO/SB/08.

81. **JP 2004-59468** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

82. **WO 93/11103** - This document is believed to be related to the U.S. Patent No. 5,833,997, listed on the attached Form PTO/SB/08.

83. **WO 97/18795**: This document is believed to be related to U.S. Patent No. 6,106,577, listed on the attached Form PTO/SB/08. This document is also the published document of EP 0 808 150.

84. **WO 99/12846** - This document is believed to be related to the U.S. Patent No. 5,873,494, listed on the attached Form PTO/SB/08.

85. **WO 01/62759 A1** - The English language abstract of the disclosure of this document can be found on the front of this document.

86. **WO 01/78669** - This document is believed to be related to U.S. Patent Application Publication No. 2003/131424, listed on the attached Form PTO/SB/08.

87. **WO 02/32386 A2** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract, submitted herewith.

88. **WO 02/38115 A1** - The English language abstract of the disclosure of this document can be found on the front of this document. Also this document is believed to be related to U.S. Patent Application Publication No. 2004/0019981 A1, listed on the attached Form PTO/SB/08.

89. **WO 02/39964 A1** - The English language abstract of the disclosure of this document can be found on the front of this document. Also this document is believed to be related to U.S. Patent Application Publication No. 2004/037796 A1, listed on the attached Form PTO/SB/08.

90. **WO 02/45673** - The English language abstract of the disclosure of this document can be found on the front of this document. Also this document is believed to be related to U.S. Patent Application Publication No. 2004/0049860 A1, listed on the attached Form PTO/SB/08.

91. **WO 02/058646 A1** - The English language abstract of the disclosure of this document can be found on the front of this document. Also this document is believed to be related to U.S. Patent Application Publication No. 2002/0205901 A1, listed on the attached Form PTO/SB/08.

92. **WO 02/058647 A1** - The English language abstract of the disclosure of this document can be found on the front of this document. Also this document is believed to be related to the U.S. Patent Application Publication No. 2004/0205901 A1, listed on the attached Form PTO/SB/08.

93. **WO 03/022232 A2** - The English language abstract of the disclosure of this document can be found on the front of this document. Also this document is believed to be related to the U.S. Patent Application Publication No. 2004/0034945 A1, listed on the attached Form PTO/SB/08.

94. **Science Des Traitements Capillaires** by Charles Zviak, 1988, published by Masson, pp. 215 and 278 - The English translation of this disclosure can be found in Charles Zviak, "The Science of Hair Care," vol. 7, pp. 215 and 278 (1986).

95. Yuki Kagoubutsu Jilen (**Dictionary of Organic Compounds**), Kodansha Ltd., August 10, 2002, p. 908 - This document was cited by the Japanese Patent Office in the counterpart patent application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the

right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:


Thalia V. Warnement
Reg. No. 39,064

Date: April 11, 2006

IDS Form PTO/SB/08: Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 13

Complete if Known

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|------------------------|------------------|
| Application Number | 10/814,336 |
| Filing Date | April 1, 2004 |
| First Named Inventor | Andrew GREAVES |
| Art Unit | 1751 |
| Examiner Name | Eisa B. Elhilo |
| Attorney Docket Number | 05725.1348-00000 |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
|---|-------------|-----------------------------|--|--|---|
| Examiner Initials | Cite No. | Document Number | Issue or Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code (if known) | | | |
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|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|--|---|----|--------------------------|------------------------|------------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/814,336 | |
| | | | Filing Date | April 1, 2004 | |
| | | | First Named Inventor | Andrew GREAVES | |
| | | | Art Unit | 1751 | |
| | | | Examiner Name | Eisa B. Elhilo | |
| Sheet | 2 | of | 13 | Attorney Docket Number | 05725.1348-00000 |

| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS | | | | | |
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| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

| | | | | | |
|-------|---|----|----|------------------------|------------------|
| Sheet | 3 | of | 13 | Application Number | 10/814,336 |
| | | | | Filing Date | April 1, 2004 |
| | | | | First Named Inventor | Andrew GREAVES |
| | | | | Art Unit | 1751 |
| | | | | Examiner Name | Eisa B. Elhilo |
| | | | | Attorney Docket Number | 05725.1348-00000 |

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| 62 | US 4,781,724 | 11/01/1988 | Wajarooff et al. | |
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| Sheet | 4 | of | 13 | Application Number | 10/814,336 |
| | | | | Filing Date | April 1, 2004 |
| | | | | First Named Inventor | Andrew GREAVES |
| | | | | Art Unit | 1751 |
| | | | | Examiner Name | Eisa B. Elhilo |
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| | | | | <i>Art Unit</i> | 1751 |
| | | | | <i>Examiner Name</i> | Eisa B. Elhilo |
| Sheet | 6 | of | 13 | <i>Attorney Docket Number</i> | 05725.1348-00000 |

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| Sheet | 10 | of | 13 | <i>Attorney Docket Number</i> | 05725.1348-00000 |

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| Application Number | 10/814,336 |
| Filing Date | April 1, 2004 |
| First Named Inventor | Andrew GREAVES |
| Art Unit | 1751 |
| Examiner Name | Eisa B. Elhilo |
| Attorney Docket Number | 05725.1348-00000 |

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| | | | Attorney Docket Number | 05725.1348-00000 | |
| Sheet | 12 | of | 13 | | |

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| | | | | First Named Inventor | Andrew GREAVES |
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| Sheet | 13 | of | 13 | Attorney Docket Number | 05725.1348-00000 |

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